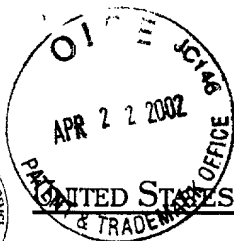


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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/993,894	11/23/2001	Bahram Javidi	UCT-003

CONFIRMATION NO. 7643

## FORMALITIES LETTER



\*OC000000007332472\*

Martin Novack  
17414 Via Capri East  
Boca Raton, FL 33496

Date Mailed: 01/18/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/24/2002 ADSMAN1 00000062 09993894

FILED UNDER 37 CFR 1.53(b)

02 FC:201	370.00 OP
03 FC:202	84.00 OP
04 FC:203	135.00 OP
05 FC:205	65.00 OP

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$219.
  - \$135 for 15 total claims over 20.
  - \$84 for 2 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 654.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice MUST be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

2022-04-15 15:50



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Docket No. UCT-003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Bahram Javidi and Osamu Matoba

Serial No. : 09/993,894

Filed : November 23, 2001

For : METHOD AND APPARATUS FOR SECURE ULTRAFAST  
COMMUNICATION

RESPONSE TO FORMALITIES LETTER, SUBMITTING  
DECLARATION, FEES, AND DRAWINGS

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

I hereby certify that this correspondence is being  
deposited with the United States Postal Service as  
first class mail in an envelope addressed to:  
Assistant Commissioner for Patents, Washington,  
DC 20231, on 4/11/02  
(Date of Deposit)  
Martin Novack  
Name of applicant, assignee, or registered rep.  
Signature Date

This is responsive to the Formalities Letter dated January  
18, 2002. A Request For Extension Of Time is submitted and paid  
for herewith.

Small entity status has been claimed.

Transmitted herewith is the executed Declaration for the  
above-identified application, along with payment for the  
surcharge of \$65 (small entity) for filing the Declaration later  
than the filing date of the application, and the filing fees of  
\$589 (small entity). Also attached is a copy of Notice To File  
Missing Parts Of Nonprovisional Application setting forth the  
requirement for the Declaration, the filing fees, and the  
surcharge.

Also submitted herewith are eight sheets of drawings, corrected as required in the Formalities Letter, to be substituted for the eight originally filed sheets.

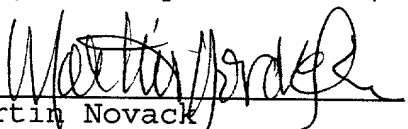
Also attached, for recording, are Assignments of the invention to the University of Connecticut, along with respective Cover Sheet Forms PTO-1595 and payment of the recording fee of \$80. Please record the Assignments, and return the documents to the undersigned Counsel.

A check for \$734 covers the above indicated fees of \$65, \$589, and \$80.

If any further fees are required in conjunction with this submission, please charge them to Deposit Account No. 14-1435.

Boca Raton, Florida  
Tel. (561) 912-9203  
Fax. (561) 912-9092  
April 11, 2002

Respectfully submitted,

  
Martin Novack  
Attorney for Applicant(s)  
(Reg. No. 25,164)

(I-25)